Reachability in Two-dimensional Unary Vector Addition Systems with States is NL-complete

Matthias Englert Ranko Lazić Patrick Totzke

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#### Definition

A *d*-dimensional VAS is a finite set of vectors  $A \subseteq \mathbb{Z}^d$ . For  $\mathbf{v}, \mathbf{v}' : \mathbb{N}^d$  it has a step  $\mathbf{v} \xrightarrow{\mathbf{a}} \mathbf{v}'$  if  $\mathbf{v}' = \mathbf{v} + \mathbf{a}$ .

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Let's lift this to words over A:

$$\stackrel{\varepsilon}{\longrightarrow} \stackrel{\text{\tiny def}}{=} Id_{\mathbb{N}^d} \qquad \stackrel{aw}{\longrightarrow} \stackrel{\text{\tiny def}}{=} \stackrel{w}{\longrightarrow} \circ \stackrel{a}{\longrightarrow}$$

where  $\varepsilon$  is the empty word,  $a \in A$ ,  $w \in A^*$  .

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Let's lift this to words and languages over A:

$$\xrightarrow{\varepsilon} \stackrel{\text{def}}{=} Id_{\mathbb{N}^d} \qquad \xrightarrow{aw} \stackrel{\text{def}}{=} \stackrel{w}{\longrightarrow} \circ \stackrel{a}{\longrightarrow} \qquad \xrightarrow{L} \stackrel{\text{def}}{=} \bigcup_{w \in L} \stackrel{w}{\longrightarrow}$$

where  $\varepsilon$  is the empty word,  $a \in A$ ,  $w \in A^*$  and  $L \subseteq A^*$ .

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The (VASS) Reachability ProblemInput:a regular language  $L \subseteq A^*$  over  $A \subseteq \mathbb{Z}^d$ Question:does  $\mathbf{0} \stackrel{L}{\longrightarrow} \mathbf{0}$  hold?

#### Reachability in Two-Dimensional Vector Addition Systems with States is PSPACE-complete

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Abstract—Known to be decidable since 1981, there still remains a hung gap between the best known lower and upper bounds for the reachability problem for vector addition systems with states (VASS). Here the problem is shown PSPACE-complete in the two-dimensional case, yastly improving on the doubly exponential time bound established in 1998 by Howell, Rosier, Huynh and Yen, Coverability and boundedness for two-dimensional VASS are also shown PSPACE-complete, and reachability in twodimensional VASS and in integer VASS under unary encoding are considered.

#### I. INTRODUCTION

Petri nets have a long history. Since their introduction [19] by Petri in 1962, thousands of papers on Petri nets have been published. Nowadays, Petri nets find a variety of applications, ranging, for instance, from modeling of biological, chemical and business processes to the formal verification of concurrent programs, see e.g. [1], [4], [8], [21], [27]. For the analysis of their algorithmic properties, Petri nets are often equivalently viewed as vector addition systems with states (VASS), and we will adopt this view throughout this paper. A VASS comprises a finite-state controller with a finite number of counters ranging over the natural numbers. The number of counters is usually referred to as the dimension of the VASS. and we write d-VASS to denote VASS in dimension d. When taking a transition, a VASS can add or subtract an integer from a counter, provided that the resulting counter values are greater than or equal to zero; otherwise the transition is blocked. A configuration of a VASS is a tuple consisting of a control state and an assignment of natural numbers to the counters. The central decision problem for VASS is reachability: given two configurations, is there a path connecting them in the infinite then polished and simplified by Kosaraju [11] in 1982, and Kosaraju's argument was in turn simplified ten years later by Lambert [12]. More recently, beginning in 2009, Leroux began developing a fundamentally different approach to deciding the VASS reachability problem [15], [16]. Finally, at the time of writing of this paper, Leroux and Schmitz could establish the first explicit upper bound for VASS reachability and show that it can be decided in F<sub>4</sub>= [13].

Milestones in the work on the complexity of the VASS reachability problem include Lipton's 1976 proof that the problem, regardless of the choice of encoding for numbers but without fixed dimension, is EXPSPACE-hard [17]. Yet our knowledge of the situation for any fixed dimension d is vastly lacking. For 1-VASS, reachability under unary encoding is easily seen to be NL-complete: the hardness is inherited from graph reachability and the upper bound follows from a simple pumping argument. Under binary encoding, 1-VASS reachability is known to be NP-complete [5]. As a substantial contribution towards showing decidability of the general problem, Hopcroft and Pansiot in 1979 showed the two-dimensional case decidable [9]. At the core of their proof lies an intricate algorithm that implicitly exploits the fact that the reachability set of a 2-VASS is semi-linear. Exhibiting a 3-VASS with a reachability set that is not semi-linear, Hopcroft and Pansiot could show that their method breaks down for d-VASS for any d greater than 2. Further complexity aspects were left unanswered in [9]. In 1986, Howell, Rosier, Huynh and Yen [10] observed that Hopcroft and Pansiot's algorithm runs in nondeterministic doubly-exponential time, under both unary and binary encoding. They then managed to improve this bound from nondeterministic to deterministic

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# Our Contribution

Theorem For regular  $L \subseteq (\mathbb{Z} \times \mathbb{Z})^*$ , shortest paths witnessing  $\mathbf{0} \xrightarrow{L} \mathbf{0}$  are bounded by  $B \stackrel{\text{def}}{=} (|L| + ||L||)^{\mathcal{O}(1)}$ .

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- ▶ on-the-fly guessing a witness uses log(B) space.
- $\blacktriangleright$  implies the  $\operatorname{PSPACE}$  upper bound of Blondin et al.'15
- closes the gap to NL completeness for unary case.



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$$(0, 1, -1)$$
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Leroux and Sutre '04 Every regular  $L \subseteq (\mathbb{Z} \times \mathbb{Z})^*$  is flattable.

# 2-dim. VASS are flattable



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2015 · · · •	Leroux and Schmitz: "Demystifying Reachability in VAS" .

### The Reachability Problem – Milestones

1962 · · · •	Petri: "Kommunikation mit Automaten" .
1969 · · · •	Karp and Miller: "Parallel program schemata".
1974 · · · •	van Leeuwen: "A Partial Solution to the Reachability-Problem for VAS" .
1976 · · · •	Lipton: The reachability problem requires exponential space.
1977 · · · •	Sacerdote and Tenney: "The Decidability of the Reachability Problem for VAS" .
1979 · · · •	Hopcroft and Pansiot: "On the Reachability Problem for 5-Dimensional VAS" .
1981 · · · •	Mayr: "An algorithm for the general Petri net reachability problem" .
1982 · · · •	Kosaraju: "Decidability of reachability in VAS" .
1986 · · · •	Rosier and Yen: "A Multiparameter Analysis of the Boundedness Problem for VAS" . Howell, Rosier, Huynh, and Yen: "Some complexity bounds for problems concerning
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•	Blondin et al.: "Reachability in 2-VASS Is PSPACE-Complete" .

## 2-dim. VASS are flattable



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# 2-dim. VASS are polynomially flattable



"Reachability in 2-VASS Is PSPACE-Complete"

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### Polynomial Flattability Lemma

For any regular  $L \subseteq (\mathbb{Z} \times \mathbb{Z})^*$ , there exist finitely many LPSs  $\Lambda_1, \Lambda_2, \ldots, \Lambda_k \subseteq L$  such that

1. 
$$\xrightarrow{L} = \bigcup_{i=1}^{k} \xrightarrow{\Lambda_{i}}$$
  
2.  $|\Lambda_{i}| \leq (||L|| + |L|)^{\mathcal{O}(1)}$  for all  $1 \leq i \leq k$ .

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$$\alpha_0\beta_1^{n_1}\alpha_1\beta_2^{n_2}\dots\beta_m^{n_m}\alpha_m$$

for small m.

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 *PSPACE* upper bound for 2-VASS Reachability (binary)
 *NP* upper bound for 2-VASS Reachability (unary)

### Our Construction

Given a linear path scheme

$$\Lambda = \alpha_0 \beta_1^* \alpha_1 \beta_2^* \dots \beta_K^* \alpha_K$$

we say  $\pi \stackrel{\text{def}}{=} \mathbf{v_1} \mathbf{v_2} \dots \mathbf{v_k} \in \Lambda$  visits  $\mathbf{p} \in \mathbb{Z}^2$  if  $\mathbf{p} = \mathbf{v_1} + \mathbf{v_2} + \dots + \mathbf{v_j}$  for some  $j \leq k$ .

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.

#### Theorem

All points visited by shortest witnesses have norm  $\leq (|\Lambda| \cdot ||\Lambda||)^{\mathcal{O}(1)}$ 

### Definition

The *cone* spanned by  $A \subseteq \mathbb{Z}^2$  is the smallest set satisfying

• 
$$Cone(A) \supseteq A$$

• 
$$Cone(A) = Cone(A) + Cone(A)$$

• 
$$Cone(A) = Cone(A) \cdot \mathbb{Q}_{>0}$$
.

#### Definition

The *cone* spanned by  $A \subseteq \mathbb{Z}^2$  is

$$Cone(A) \stackrel{\text{\tiny def}}{=} \left\{ \sum_{i=1}^{l} a_i \mathbf{v_i} \mid a_i \in \mathbb{Q}_{>0}, \ \mathbf{v_i} \in A, \ l > 0 \right\}$$

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#### Property 3

If  $\mathbf{v} \in Cone(A)$  and  $\mathbf{w} \in \mathbb{Z}^2$  is such that  $\|\mathbf{w}\| \le \|A\|$  and  $\mathbf{v} \cdot \mathbf{w}$  is maximal, then  $\mathbf{w} \in Cone(A)$ .



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Cones and Paths

For 
$$\pi = \alpha_0 \beta_1^{n_1} \alpha_1 \beta_2^{n_2} \dots \beta_K^{n_K} \alpha_K \in \Lambda$$
 write  
 $Cycles_B(\pi) \stackrel{\text{def}}{=} \{\beta_i \mid n_i \ge B\} \subseteq S$ 

for those cycles  $\beta_i$  occurring  $n_i \ge B$  times and let

$$Cone_B(\pi) \stackrel{\text{\tiny def}}{=} Cone(Cycles_B(\pi)).$$

#### Observation

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If  $\mathbf{s}\pi$  visits only points in  $\mathbb{N}_{\geq B} \times \mathbb{N}_{\geq B}$  and  $\pi'$  is a subword of  $\pi$  such that  $B \geq (|\pi| - |\pi'|) \cdot ||S||$  then  $\mathbf{s}\pi'$  visits only points in  $\mathbb{N}^2$ .

#### Cut Lemma

If  $\sigma$  is part of a shortest witness and visits only points in  $\mathbb{N}^2_{\geq B}$ , then  $\mathbf{0} \notin Cone_B(\sigma)$ .



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# Conclusion

### 2-VASS have $(|L| \cdot ||L||)^{\mathcal{O}(1)}$ long reachability witnesses.

- The reachability problem is NL-complete (unary) and PSPACE-complete (binary).
- Our proof uses effective (polynomial) flattability and small solutions lemmas for linear equations.

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### Outlook

- ▶ Does this generalise to (*d* > 2)-dimensional *flattable* VASS?
- regular separability of 1-VASS languages
- ▶ 1-dim. pushdown VASS, or 2-dim. branching VASS?

